

DEPARTMENT OF TRANSPORTATION
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November 15, 2011

To: Metropolitan Planning Organizations
Regional Transportation Planning Agencies
Local Transportation Commissions

Dear Executive Directors:

Subject: Notification for Cycle 13 - Assembly Bill 1012 Provisions of "Use It or Lose It"

This correspondence serves as the official notification to the regional and local agencies (Regions) of the "Use It or Lose It" provisions contained in Assembly Bill (AB) 1012 enacted in October of 1999. This notification marks the thirteenth year that Regions have been required to comply with the "Use It or Lose It" provisions of AB 1012. Under these provisions, Regions are required to obligate federal funds within three years of receiving apportionments. At this time, the balances subject to reprogramming on October 1, 2011, for this thirteenth cycle, Federal Fiscal Year (FFY) 2009-10 under AB 1012, total approximately \$17 million. The balances consist of about \$300,000 of Congestion Mitigation and Air Quality Improvement Program (CMAQ) apportionments and about \$16.9 million of Regional Surface Transportation Program (RSTP) apportionments.

AB 1012 was enacted with a goal of improving the delivery of transportation projects and addressing the backlog of the Regions' federal apportionments and obligation authority (OA). AB 1012 states that CMAQ and RSTP funds that are not obligated within the first three years of federal eligibility are subject to reprogramming by the California Transportation Commission in the fourth year in order to prevent the funds from being lost by the state.

Another provision under AB 1012 is that Regions are required to submit a plan to the California Department of Transportation (Caltrans) to obligate apportionment balances that are older than two years and to ensure that none of the balances reach three years of age. Obligation plans should be submitted in electronic form to the Caltrans' District Local Assistance Engineers (DLAEs) no later than April 1, 2012. The template is available on the Local Assistance Web site at:

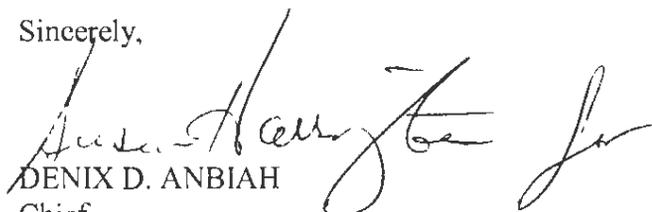
<http://www.dot.ca.gov/hq/LocalPrograms/>

We ask that no alterations, modifications or changes be made to the template. The obligation plans should include all federal funded projects that will be obligated between April 1, 2012, and the end of FFY 2011-12. The obligation plans will also be used to determine OA needs for the remainder of the FFY, to review, prioritize and track projects, and to plan workload.

The Federal Highway Administration (FHWA) has not released the total OA for FFY 2011-12. OA has been provided by a Continuing Resolution. Currently, the Division of Local Assistance (DLA) has received approximately \$170 million of OA in formula funds for Regions to use (this represents about 49 days worth of OA). Caltrans will provide an update on OA and apportionment balances when additional information becomes available.

If you have any questions about the notification or the report, please contact John Flores at (916) 654-4032 or Daniel Burke at (916) 654-5854.

Sincerely,



DENIX D. ANBIAH

Chief

Division of Local Assistance

- c: District Deputy Directors for Local Assistance, Caltrans
- District Local Assistance Engineers, Caltrans
- Office Chiefs, Division of Local Assistance, Caltrans
- Fardad Falakfarsa, Division of Budgets, Caltrans

Apportionment Status Report
CMAQ and RSTP
(as of October 1, 2011)

AB 1012
Balances entering the 3rd Year
(from FFY 2010*)
Regional Report Summary

*Previously referred to as Cycle 13

Region	CMAQ Unobligated 10/1/2011 Delivery Balance ¹	CMAQ Amount Subject to AB 1012 Reprogramming 11/01/2012 ²	RSTP Unobligated 10/1/2011 Delivery Balance ¹	RSTP Amount Subject to AB 1012 Reprogramming 11/01/2012 ²
Butte	2,353,940	-	3,262,962	-
Fresno	19,234,460	-	27,141,797	4,859,756
Kern	16,155,772	-	17,367,458	-
Kings	1,571,431	-	2,078,586	-
Los Angeles	194,125,194	-	271,747,882	6,439,888
Madera	1,872,511	-	1,974,771	-
Merced	3,182,470	-	3,379,882	-
Monterey	143,485	-	7,665,016	-
Orange	53,246,699	-	67,711,003	-
Riverside	35,933,346	-	27,429,139	-
S. F. Bay Area (MTC)	85,185,957	-	129,310,669	-
Sacramento (SACOG)	31,154,361	-	31,995,600	-
San Benito	-	-	859,647	-
San Bernardino	36,608,413	-	41,055,689	-
San Diego	36,442,441	-	44,642,865	-
San Joaquin	9,798,063	-	11,396,338	-
San Luis Obispo	-	-	4,921,773	-
Santa Barbara	-	-	7,993,008	-
Santa Cruz	-	-	4,127,576	-
Stanislaus	8,581,232	-	10,062,051	-
Tahoe	1,295,386	-	740,404	-
Tulare	7,713,730	-	5,961,681	-
Ventura	12,870,110	-	26,566,886	5,574,964
Rural Counties & SCAG	\$5,520,543	277,445	\$22,091,825	-
TOTAL	562,989,543	277,445	771,484,505	16,874,608

Footnotes:

* Balances entered the 3rd year on October 1, 2011, and are subject to reprogramming on November 1, 2012. The October 1, 2011 balances include the November 2011 Revised "Advance" FFY 2012 apportionments.

¹ Indicates all apportionments not yet obligated.

² Totals reflect balances in the third year.

Assumes the use of all previous balances.

Apportionment Status Report
CMAQ and RSTP
(as of October 1, 2011)

AB 1012
Balances entering the 3rd Year
(from FFY 2010*)
Rural Report Summary

*Previously referred to as Cycle 13

Region	CMAQ Unobligated 10/1/2011 Delivery Balance ¹	CMAQ Amount Subject to AB 1012 Reprogramming 11/01/2012 ²	RSTP Unobligated 10/1/2011 Delivery Balance ¹	RSTP Amount Subject to AB 1012 Reprogramming 11/01/2012 ²
Rural County Information:				
Alpine	-	-	132,836	-
Amador	537,851	105,525	564,126	-
Calaveras	554,209	-	651,612	-
Colusa	-	-	303,654	-
Del Norte	-	-	444,196	-
El Dorado	-	-	1,686,689	-
Glenn	-	-	427,176	-
Humboldt	-	-	2,043,070	-
Imperial (SCAG)	2,092,174	-	3,171,520	-
Inyo	-	-	681,834	-
Lake	-	-	941,600	-
Lassen	-	-	535,381	-
Mariposa	350,107	-	275,362	-
Mendocino	-	-	1,393,048	-
Modoc	-	-	300,089	-
Mono	-	-	232,574	-
Nevada	1,215,318	-	1,479,325	-
Placer	-	-	1,013,074	-
Plumas	-	-	334,399	-
Shasta	-	-	2,636,331	-
Sierra	-	-	132,831	-
Siskiyou	-	-	675,161	-
Tehama	-	-	904,944	-
Trinity	-	-	255,027	-
Tuolumne	770,883	171,920	875,965	-
Rural Combined Totals:	5,520,543	277,445	22,091,825	-

Footnotes:

* Balances entered the 3rd year on October 1, 2011, and are subject to reprogramming on November 1, 2012. The October 1, 2011 balances include the November 2011 Revised "Advance" FFY 2012 apportionments.

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