

**FFY 2011 - 2012
Local Assistance Delivery
Formula OA Funds as of September 30, 2012**

Region	Actual FFY 2012 OA ¹	Adjustment to FFY 2012 OA for FFY 2011 Over - Under Delivery ²	Oversight Adjustment ¹⁴	Contribution for LS&Rs Needs Assessment FFY 2011/12 ⁹	LS&Rs Needs Assessment Funding Offset	Adjusted FFY 2012 Actual OA ³	FFY 2012 Obligations as of 9/30/12	Percent Actual Adjusted OA Delivered	FFY 2012 August Redistribution ¹	Balance FFY 2012 Actual OA ⁴
Butte	5,029,422	(296,263)	(45,755)	(1,500)		4,685,903	5,082,938	108.5%	50,273	(346,762)
Fresno	21,398,345	1,259,117	(202,635)			22,454,827	17,336,190	77.2%	-	5,118,637
Kern	16,624,177	2,126,453	(157,368)			18,593,262	11,567,914	62.2%	-	7,025,347
Kings	3,300,968	(1,286,385)	(30,075)	(956)		1,983,552	2,154,553	108.6%	21,652	(149,348)
Los Angeles	252,843,098	5,108,786	(2,395,644)	(70,260)	199,683	255,685,663	187,514,282	73.3%	-	68,171,382
Madera	3,202,966	(622,111)	(29,211)	(909)		2,550,736	1,629,288	63.9%	-	921,448
Merced	5,399,676	29,704	(49,211)	(1,554)		5,378,616	3,566,469 ¹³	66.3%	-	1,812,146
Monterey	5,346,725	(1,094,929)	(46,538)	(2,965)		4,202,294	5,191,751	123.5%	125,286	(864,171)
Orange	76,440,298	3,676,721	(724,428)			79,392,591	125,899,322	158.6%	5,888,716	(40,618,016)
Riverside ⁵	47,966,825	(20,389,754)	(454,400)	(11,406)		35,111,265 ^{5, 12}	5,619,206	16.0%	-	29,492,058
Sacramento (SACOG)	48,391,540	(12,641,986)	(458,282)	(11,302)		35,279,970	54,090,808	153.3%	2,381,842	(16,428,996)
San Benito	708,448	(141,638)	(6,166)	(393)		560,250	691,328	123.4%	16,597	(114,480)
San Bernardino ⁵	48,842,514	(12,747,830)	(462,237)			27,632,446 ^{5, 12}	58,698,285	212.4%	3,933,579	(27,132,260)
San Diego	66,339,819	(45,673,117)	(628,599)	(21,000)		20,017,103	77,665,957	388.0%	7,299,539	(50,349,315)
S.F. Bay Area (MTC)	158,807,299	(34,538,662)	(1,504,680)	(52,000)		122,711,958	239,809,613	195.4%	14,826,990	(102,270,666)
San Joaquin	15,475,010	(12,116,161)	(146,657)	(4,160)		3,208,032	27,608,089	860.6%	3,089,553	(21,310,505)
San Luis Obispo	3,282,878	145,355	(28,574)	(1,821)		3,397,838	3,402,394	100.1%	577	(3,979)
Santa Barbara	5,314,586	2,639,739	(46,258)	(2,948)		7,905,120	5,314,586	67.2%	-	2,590,534
Santa Cruz	3,401,600	(15,271)	(29,607)	(1,887)		3,354,835	3,401,600	101.4%	5,921	(40,844)
Stanislaus	11,985,012	(6,013,152)	(113,582)			5,858,277	13,135,431	224.2%	921,438	(6,355,715)
Tahoe	1,231,195	1,503,081	(11,242)	(341)		2,722,694	809,089 ¹¹	29.7%	-	1,913,605
Tulare	9,397,044	2,038,340	(85,620)	(2,716)		11,347,048	7,730,941	68.1%	-	3,616,107
Ventura	17,695,271	6,231,396	(167,699)	(5,559)		23,753,409	12,137,508	51.1%	-	11,615,901
Amador ^{6, 7}	803,390	335,656	(7,279)	(259)		998,287 ^{7, 12}	658,406	66.0%	-	339,881
Calaveras ^{6, 7}	951,687	1,399,647	(8,634)	(299)		2,607,723 ^{7, 12}	645,118	24.7%	-	1,962,605
Imperial ⁶	3,339,539	(809,111)	(31,649)			2,498,779	3,505,454	140.3%	127,466	(879,209)
Mariposa ⁶	386,064	465,129	(3,495)			847,697	226,651	26.7%	-	621,046
Nevada ⁶	2,078,955	631,387	(18,823)			2,691,519	2,197,127	81.6%	-	494,392
Tuolumne ^{6, 7}	1,214,689	655,332	(10,990)	(402)		1,726,529 ^{7, 12}	1,106,442	64.1%	-	620,087
Rural Counties ⁶	12,811,274	660,663	(83,661)	(5,046) ¹⁰	-	13,383,230	12,753,381 ¹¹	95.3%	-	629,849
TOTAL LOCAL AGENCIES	850,010,314	(119,479,861)	(7,989,000)	(199,683)	199,683	722,541,453	891,150,121	123.3%	38,689,429	(129,919,239)
HBP	280,096,873	(97,005,165)	-			183,091,708	296,859,062	162.1%	14,405,304	(99,362,050)
Safety	62,152,420	162,472,018	-			224,624,438	50,063,008	22.3%	-	174,561,430
SRTS	19,862,610	50,319,375	-			70,181,985	29,720,129	42.3%	-	40,461,855
TOTAL	1,212,122,218¹	(3,693,633)⁸	(7,989,000)	(199,683)	199,683	1,200,439,585	1,267,792,321	105.6%	53,094,733	(14,258,003)
FFY 2011 Formula OA Obligations YTD (9/30/11) (Total)						1,228,906,093	1,285,004,275	104.6%	52,404,549	(3,693,633)

Prior year data here for informational purposes

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Information:

< This September 30, 2012 report is based on the Department's Federal Aid Data System (FADS) transactions.

< Obligations include obligations, deobligations, exchanges, and Federal Transit Administration transfers (FTAs).

Footnotes:

¹ The Locals' FFY 2011-12 Actual OA and August Redistribution amounts are based on the amounts provided by the Department's Federal Resources Office (FRO) on December 4, 2012. Actual Local OA is \$1,218,272,699.63 with adjustments to/for: 1. Remove Local Rail OA from FRO base OA (-\$6,865,132); FRO retains all Rail OA and apportionments, 2. FHWA Prior Year return (Local share = -\$3,278,928), 3. FHWA Adjustment to Formula Limit (Local Share = -\$247,828), and 4. Year-End FFY 2011 OA balance variance with FRO = -\$293,753; and an increase for FHWA Total Other Allocated Limitation of \$4,535,159. Also, an additional \$53,094,733 of OA provided from FHWA via the August Redistribution process.

² Balance of FFY 2011 OA (last FFY's OA balance) -- This is the amount a Region must pay back if it over delivered or the amount a Region will receive to "make whole" if under delivered based on the DLA OA Management Policy. Amounts shown in parenthesis are amounts owed and positive (non-parenthesis numbers) amounts are to be repaid to Regions/Programs.

³ This is the FFY 2012 Actual base OA, which includes adjustments for FFY 2011 OA Over or Under Delivery, the Oversight Adjustment, and Local Streets and Roads (LS&R) Statewide Needs Assessment contributions and offsets.

⁴ Balance of FFY 2012 Actual OA per date of report -- This is the closing balance of FFY 2012 Actual OA. This is the amount a Region, in FFY 2012-13, must repay if over delivered or the amount a Region will receive to "make whole" if under delivered based on the DLA OA Management Policy. Amounts shown in parenthesis require repayment and positive (non-parenthesis numbers) figures are amounts owed to those Regions/Programs.

⁵ Per the August 1, 2006 MOU between Riverside County Transportation Commission (RCTC) and the San Bernardino Associated Governments (SANBAG), the FFY 2006 OA for these two regions was adjusted in September 2006 to reflect an OA loan of \$16,000,000. The loan decreased RCTC's FFY 2006 OA and increased SANBAG's FFY 2006 OA by \$16,000,000. The August 1, 2006 memo states that the repayment of the OA to RCTC from SANBAG is anticipated in FFY 2009 or FFY 2010. Upon direction from these Regions, repayment will now be applied in FFY 2012 (50% - applied October 2011) and FFY 2013 (50% - next FFY in October 2012).

⁶ The Rural Counties' subtotal above excludes those rural counties receiving CMAQ beginning in FFY 2006.

⁷ MOU (June 2008) between Amador County Transportation Commission (ACTC), Calaveras Council of Governments (CCOG), and Tuolumne County Transportation Council (TCTC) -- \$133,221 of OA from ACTC to CCOG for FFY 2011-12; and \$132,101 of OA from TCTC to CCOG for FFY 2011-12. This FFY (2012) is the last year of this MOU agreement/repayment.

⁸ Based on the September 30, 2011 (FFY 2011) year-end reconciliation (please see September 30, 2011, OA delivery report for details on this amount). This results in an adjustment to Regional OA for FFY 2012. This amount will be reduced from the Region's OA balance. Please see comment at footnote ¹ above regarding the \$293,753 variance in the Local's FFY 2011 OA balance vs. FRO's balance.

⁹ Received agreements from Regions for the Local Streets and Roads (LS&R) Statewide Needs Assessment Funding Concurrence.

¹⁰ Received agreements from the following Rural Regions for the LS&R Statewide Needs Assessment Funding Concurrence: Del Norte (\$203); El Dorado (\$903); Glenn (\$195); Humboldt (\$934); Lake (\$430); Mendocino (\$637); and Placer (\$1,744).

¹¹ The original May 31, 2012, OA Delivery Report dated June 25, 2012, was revised to correct a misposting related to the RSTP exchange for Tahoe, Placer, and El Dorado.

¹² The original June 30, 2012, OA Delivery Report dated July 16, 2012, and the revised May 31, 2012, report dated July 6, 2012 were revised to correct inadvertent errors to these reports. On prior OA delivery reports, adjustments were made for footnote ⁵ in October 2011 and for footnote ⁷ in April 2012. However, when the LS&R's Needs Assessment adjustments were added with the May 2012 OA delivery report, the prior adjustments for footnotes ⁵ and ⁷ were inadvertently removed. This was corrected with the June 30, 2012 report.

¹³ July 31, 2012 Report: Includes reversal for FTA charged in September 30, 2010 reporting [See the September 30, 2010 report, footnote ¹¹ for details on FTAs submitted in FFY 2010 that were not completed in FFY 2010 although submitted on time]. All FTAs were later transferred in FFY 2011 except FTACML-6308(013) for \$85,000 of L4OE. This FTA transfer was never completed; therefore these funds returned to the Region.

¹⁴ Required by Sections 182.6(n) and 182.7(k) of the Streets and Highways Code.