

# How to complete an electronic bid file

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11/7/2014

# Caltrans advertised projects

[http://www.dot.ca.gov/hq/esc/oe/weekly\\_ads/all\\_adv\\_projects.php](http://www.dot.ca.gov/hq/esc/oe/weekly_ads/all_adv_projects.php)



CA.GOV California Department of Transportation Office Engineer

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Contract Awards & Services | Construction Contract Standards | Contractor's Corner | Guidance

[Home](#) → [Contract Awards and Services](#) → [All Advertised Projects](#)

## → All Projects Currently Advertised

Last updated 11/6/2014 - Time 01:40 p.m.

*Be sure to check the [Addendum Page](#) for Addendum Information on projects advertised and out to bid. For best results, please use Internet Explorer as the browser to use with Caltrans' web pages. If you are using Firefox and the PDF files are displayed poorly, please see [this instruction](#).*

Logged in as [Chris\\_Rice](#). [Logout?](#)

### ELECTRONIC BIDDING PROJECT

01-0C3504 | [List of Bid Items](#) | [Contract Plans and Special Provisions](#) | [Download Electronic Bid Book](#) | 0 bidder inquiries

Cold plane AC, apply crack treatment and place rubberized HMA overlay.

01-Lak-29-R34.4/R40.0 \* Date Advertised 10/13/2014

Bids Opening in Sacramento \* Bids Open 11/13/2014

Estimate: \$4,200,000

In Lake County Near Lakeport From 0.1 Mile South Of Main Street To 0.1 Mile South Of Hopland Road

The Contractor must have either a Class A license or one of the following Class C licenses: C-12, C-32, C-10.

100 Working Days \* 7% DBE Requirement \* Cost per set: Bidders: \$E-ad, Non-bidders: \$E-ad

Subs/Suppliers: [Opt In](#) | [6 prime contractors looking for help](#) | [Primes: Advertise for help](#) | [See 23 opt-in records](#)

[See Non-Bidding Planholders](#) | [See Bidding Planholders](#)

# Caltrans electronic bid project



## **ELECTRONIC BIDDING PROJECT**

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# Caltrans electronic bid project



California Department of  
Transportation



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This project is an electronic bidding project, bids can only be submitted electronically to Caltrans through the Bid Express Internet Bidding Service (BidX)

Note that submitting this form will send you the bid book, enabling you to bid as a prime, and adding you to the bidding planholder list. If you don't intend to bid as a prime, click back and use opt-in. This planholder list will remain until the bid opening date, you can expect to be contacted by interested parties until that date.

California Public Contract Code 10166 states that the Department shall furnish to each bidder a standard proposal form. Bidders are considered as follows: contractors licensed in the State of California, entities that have previously bid Caltrans projects, entities that are currently in the process of obtaining a State of California Contractors license, and those that may be bidding as joint venture. Others, not previously listed, who request bid documents possibly may be requested to provide evidence that they can legally bid the project at the time the bid is due.

Thank you for your interest in bidding on our contracts. Before you order the bid book for 01-0C3504

**Please verify your contact information.**

Attn: Chris Rice  
Chris Rice - Caltrans Electronic Bidding  
1727 30th Street  
Sacramento, CA 95816  
9162276235  
[Chris\\_Rice@dot.ca.gov](mailto:Chris_Rice@dot.ca.gov)

If you need to modify your email address or business information, [click here](#).

[Click here to be added to the bidding planholder's list and for a link to download your electronic bid book.](#)



# Expedite Bid main folder

The screenshot displays the 'Expedite Bid' software interface. On the left, a folder tree is visible under the '01Dec2' folder, containing various project-related folders. The main window shows project details for Agency: CALTRANS, Bidder ID: 1234, Bid Opening: 12/01/12, Call Order: 2, Contract No: 13-050504, and Description: FOR BUILDING CONSTRUCTION ADJACENT TO STATE HIGHWAY IN MONO COUNTY IN LEE VINING AT THE LEE VINING MAINTENANCE STATION In District 09 On Route 395. At the bottom, a summary table shows Item total: 4,000.00, Time total: 0.00, Bid total: 4,000.00, and Bid Total: 4,000.00.

Agency:	CALTRANS	Bidder ID:	1234
Bid Opening:	12/01/12	Fed. Aid No.:	
Call Order:	2	County:	Mono
Contract No:	13-050504	Amendments:	0
Date Generated:		Joint Bid:	No
Date Revised:		Check:	B186345F
Description:	FOR BUILDING CONSTRUCTION ADJACENT TO STATE HIGHWAY IN MONO COUNTY IN LEE VINING AT THE LEE VINING MAINTENANCE STATION In District 09 On Route 395		

Item total:	4,000.00	Time total:	0.00
Bid total:	4,000.00		
		Bid Total:	4,000.00

Basic project information contained here

Folders are shown here

Bid total is shown here

This close-up shows the summary table from the screenshot, highlighting the 'Bid Total' field which contains the value 4,000.00.

Item total:	4,000.00	Time total:	0.00
Bid total:	4,000.00		
		Bid Total:	4,000.00

# Bid Item List folder

Folder turns green indicating it is completed

Enter bid prices for bid item list items

Expedite Bid - 07-1W4904.EBS (0 Amendments) 07-1W4904

File Edit Tools View Help

03 Day1

- Bid Item List
- DBE Commitment
- Bid to the DOT
- SubContractor List
- Additional SubContractor List
- Opt out of payment adjustments for price index fluctuations
- Small Business Status
- Certifications
- SF-LL Disclosure of Lobbying Activities
- Bid Bond Data

Line	Item	Quantity	Unit	Unit Price	Extension
<b>Section BID ITEM LIST</b>					<b>2,050,909.00</b>
<b>PROPOSAL TO THE DEPARTMENT OF TRANSPORTATION</b>					
1	070030 LEAD COMPLIANCE PLAN	LUMP	LS	1,500.00	1,500.00
2	120090 CONSTRUCTION AREA SIGNS	LUMP	LS	15.00	15.00
3	120100 TRAFFIC CONTROL SYSTEM	LUMP	LS	123.00	123.00
4	130100 JOB SITE MANAGEMENT	LUMP	LS	2.00	2.00
5	130200 PREPARE WATER POLLUTION CONTROL PROGRAM	LUMP	LS	123.00	123.00
6	153103 COLD PLANE ASPHALT CONCRETE PAVEMENT	130,000.0	SQYD	1.25	162,500.00
7	374207 CRACK TREATMENT	14.0	LNMI	123.00	1,722.00
8	390095	130.0	CY	123.00	15,990.00

For Help, press F1

Bid Total: 2,050,909.00

Extensions and total calculated

# Proposal Sites folder (Time portion of a Cost + Time bid)

Site #	Type	Cost/Day	# Days	Total
1	WORKING DAYS BID (Not to exceed 250 days)	13,500.00	225	3,037,500.00

Site #	Type	Cost/Day	# Days	Total
1	WORKING DAYS BID (Not to exceed 250 days)	13,500.00	225	3,037,500.00

Site #	Type	Cost/Day	# Days	Total
1	WORKING DAYS BID (Not to exceed 250 days)	13,500.00	225	3,037,500.00

Enter number of working days bid

# Bid to the D.O.T. folder

STATE OF CALIFORNIA • DEPARTMENT OF TRANSPORTATION  
BID TO THE DEPARTMENT OF TRANSPORTATION

Contractor license number

1. Bidder agrees, if this bid is accepted, to enter into a contract with the Department, in the form included in the Standard Specifications, to perform the work provided in the Contract under the terms of the Contract for the price or prices bid.

STATE OF CALIFORNIA • DEPARTMENT OF TRANSPORTATION  
BID TO THE DEPARTMENT OF TRANSPORTATION

Contractor license number

1. Bidder agrees, if this bid is accepted, to enter into a contract with the Department, in the form included in the Standard Specifications, to perform the work provided in the Contract under the terms of the Contract for the price or prices bid.

2.1. If a discrepancy between the unit price and the item total exists, the unit price prevails, except:

2.1.1. If the unit price is illegible, omitted, or the same as the item total, the item total prevails and the unit price is the quotient of the item total and the quantity.

2.1.2. If a decimal error is apparent in the product of the unit price and the quantity, the Department will use either the unit price or item total based on the closest to percentage to the unit price or item total in the Department's Final Estimate.

2.2. If the unit price and the item total are illegible or are omitted, the bid may be determined nonresponsive. If a lump sum total price is illegible or is omitted, the bid may be determined nonresponsive.

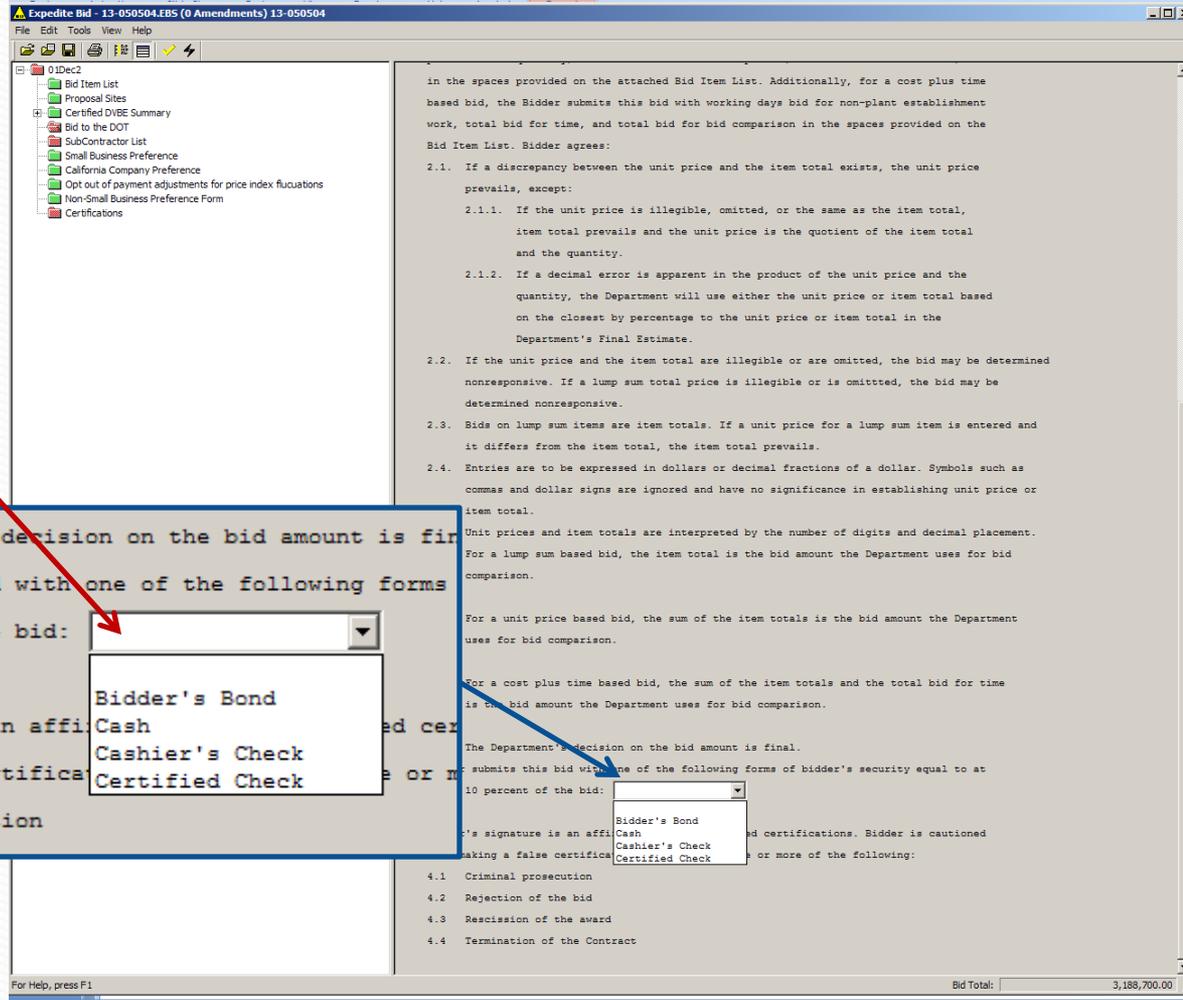
2.3. Bids on lump sum items are item totals. If a unit price for a lump sum item is entered and

Help, press F1 Bid Total: 3,188,700.00

Enter Contractor license number (Optional for Federally funded projects)

# Bid to the D.O.T. folder

Enter type of bid security to be submitted to Office Engineer before bid opening date and time



# Subcontractor List folder

Select "Yes" to list subcontractor

The screenshot shows the 'Expedite Bid - 13-050504.EBS (0 Amendments) 13-050504' application. The left sidebar contains a tree view with folders like 'Bid Item List', 'Proposal Sites', 'Certified DBE Summary', 'Bid to the DOT', 'SubContractor List', 'Small Business Preference', 'California Company Preference', 'Opt out of payment adjustments for price index fluctuations', 'Non-Small Business Preference Form', and 'Certifications'. The main window displays the 'SubContractor List' form for 'STATE OF CALIFORNIA - DEPARTMENT OF TRANSPORTATION AND INFRASTRUCTURE'. The form includes a 'List this subcontractor?' dropdown menu (set to 'Yes'), a '\*REQUIRED' label, and fields for 'Firm Name', 'City', and 'State'. Below these are multiple rows for 'Description of Subcontracted Work', each with 'Bid Item No.' and 'Percent of Bid Item Subcontracted' fields. A note states: 'Multiple item numbers and percentages can be separated by a comma (Example: 12, 24, 35)'. At the bottom, a 'Bid Total' of 3,188,700.00 is visible.

Enter firm name, City, State, and description of subcontracted work

Bid item numbers, and percentages may be completed as well. They can also be printed and submitted within 24 hours after bid opening



# Request for Small Business Preference or Non-Small Business Preference folder (State funded projects)

Select “requests” to request preference, then complete the rest of the section

REQUEST FOR SMALL BUSINESS PREFERENCE OR NON-SMALL BUSINESS PREFERENCE

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COMPLETE ONE OF THE FOLLOWING:

\*Small Business Preference

---

The undersigned  small business preference and certifies, under penalty of perjury, that the firm meets the requirements of 2 CA Code of Regs § 1896 et seq. and is certified as a small business at the time and day of bid opening or has submitted a complete application to the Department of General Services (DGS) and is subsequently certified. The complete application and any required substantiating documentation must be received by DGS by 5:00 p.m. on bid opening date.

Example: mm/dd/yyyy

Date:  Certification Number:

\*Non-Small Business Preference

---

The undersigned  non-small business preference and notifies the Department on the Certified Small Business Listing for the Non-Small Business Preference form that it commits to subcontract at least 25 percent of its bid amount with one or more firms that meets the requirements of 2 CA Code of Regs § 1896 et seq. and the firms are certified as small businesses at the time and day of bid opening or have submitted a complete application and are subsequently certified by the Department of General Services (DGS). The complete application and any required substantiating documentation must be received by DGS by 5:00 p.m. on bid opening date. List these firms on the Certified Small Business Listing for the Non-Small Business Preference form.

Example: mm/dd/yyyy

Date:

\*Small Business Preference

---

The undersigned  small business preference and certifies, under penalty of perjury, that the firm meets the requirements of 2 CA Code of Regs § 1896 et seq. and is certified as a small business at the time and day of bid opening or has submitted a complete application to the Department of General Services (DGS) and is subsequently certified. The complete application and any required substantiating documentation must be received by DGS by 5:00 p.m. on bid opening date.

Example: mm/dd/yyyy

Date:  Certification Number:

\*Non-Small Business Preference

---

The undersigned  non-small business preference and notifies the Department on the Certified Small Business Listing for the Non-Small Business Preference form that it commits to subcontract at least 25 percent of its bid amount with one or more firms that meets the requirements of 2 CA Code of Regs § 1896 et seq. and the firms are certified as small businesses at the time and day of bid opening or have submitted a complete application and are subsequently certified by the Department of General Services (DGS). The complete application and any required substantiating documentation must be received by DGS by 5:00 p.m. on bid opening date. List these firms on the Certified Small Business Listing for the Non-Small Business Preference form.

Example: mm/dd/yyyy

Date:

# California Company Preference folder

## (State funded projects)

Select "am" if your principal place of business is in California

Select "am" if the principal State of your business is not California, and it does not have a local contractor preference

Select "am" if the principal State of your business is not California, it does have a local contractor preference, and you have paid the specified taxes

Select "am not" if the principal State of your business is not California, and there is a local contractor preference. Enter the preference given

01Dec2

- Bid Item List
- Proposal Sites
- Certified DVBE Summary
- Bid to the DOT
- SubContractor List
- Small Business Preference
- California Company Preference**
- Opt out of payment adjustments for price index fluctuations
- Non-Small Business Preference Form
- Certifications

**CALIFORNIA COMPANY PREFERENCE**

This form must be completed and signed by all bidders. Failure of a non-California company to fill out and sign this form may be cause for rejection of its bid. Eligibility for a reciprocal preference for a California company is waived if the California company fails to complete and sign this form under penalty of perjury.

The undersigned certifies that it is a "California company" as defined in Pub Cont Code § 6107 and meets one of the following (enter requested information):

1. I  a California company which has its principal place of business in California.

2. I  a California company which has its principal place of business in a state in which there is no local contractor preference on construction contracts.  
Name of State:

3. I  a California company which has its principal place of business in a state in which there is a local contractor preference and my company has paid not less than \$5,000 in sales or use taxes to California for construction related activity for each of the 5 years immediately preceding the submission of the bid.  
Name of State:   
California Sales or Use Tax No.:

The undersigned certifies that it is not a "California company."

4. I  a California company.  
My principal place of business is in:   
Describe any and all preferences provided to your company by the state or country in which your company has its principal place of business. (Attach additional sheets if necessary.)  
Describe:

I certify under penalty of perjury under the laws of the State of California that the foregoing is true and correct.

# Opt Out of Payment Adjustments for Price Index Fluctuations folder

To Opt Out of Payment Adjustments for price index fluctuations, enter Date, and Name

The screenshot shows the 'Expedite Bid - 13-050504.EBS (0 Amendments) 13-050504' window. The left sidebar contains a tree view with the following items: Bid Item List, Proposal Sites, Certified DVBE Summary, Bid to the DOT, SubContractor List, Small Business Preference, California Company Preference, **Opt out of payment adjustments for price index fluctuations** (highlighted), Non-Small Business Preference Form, and Certifications. The main window displays the form titled 'OPT OUT OF PAYMENT ADJUSTMENTS FOR PRICE INDEX FLUCTUATIONS'. The form text reads: 'You may opt out of the payment adjustments for price index fluctuations as specified in "Payment Adjustments for Price Index Fluctuations of the special provisions. If you elect to opt out of the provisions of this specification, complete this form and submit it with your bid.' Below this, there is a line for 'I opt out of the payment adjustments for price index fluctuations'. At the bottom, there are two input fields: 'Date: ' and 'Name: '. Two red arrows point from the text box above to these input fields.

# Certified DVBE Summary

(Contracts with no Federal funds)

May be submitted with bid, or printed and submitting under Section 2-1.33

If Prime is a DVBE, enter DVBE certification

Enter contact person name and phone number

Enter total claimed participation amount and total percentage

Enter bid item numbers and description of work to be subcontracted

Enter DVBE name, phone number, certification number and dollar amount

Expedite Bid - 01-0A4404.EBS (0 Amendments) 01-0A4404

File Edit Tools View Help

26 April

- Bid Item List
- Bid to the Department of Transportation
- SubContractor List
- Additional SubContractor List
- Small Business Preference
- California Company Preference
- Opt out of payment adjustments for price index fluctuations
- Certified DVBE Summary
- Certified Small Business Listing for the Non-Small Business Pref
- Certifications
- Bid Bond Data

CERTIFIED DVBE SUMMARY

DVBE Prime contractor certification :

DVBE prime contractors must enter their DVBE reference number on their DBA name as listed with Department of General Services (DVBE prime contractors are credited with 100 percent DVBE participation and need not complete the remainder of the form)

Contact person name :

Telephone number :

Total Claimed participation \$

\$

Submit to:

MSC 43  
Office Engineer  
Department of Transportation  
1727 30th Street  
Sacramento, CA 95816-7005

Names of first tier DVBE subcontractors and their items of work listed must be consistent with the names and items of work in the Subcontractors List (Pub Cont Code 4100 et seq)

If 100% of an item is not performed or supplied by the DVBE's, describe the exact part, including the planned location of work to be performed, of item to be performed or supplied by DVBE.

Bid Item Number(s) :

Description of Work to Be Subcontracted to DVBE or Materials to be Supplied by DVBE :

DVBE Name :

DVBE Telephone Number :

DVBE Certification Number :

\$Amount :

Bid Total: | 0.00

# DBE Commitment

## (Contracts with Federal funds)

May be submitted with bid, or printed and submitting under Section 2-1.33

Expedite Bid - 04-3E3004.EBS (0 Amendments) 04-3E3004

File Edit Tools View Help

26Apr1

- Bid Item List
- Bid to the Department of Transportation
- SubContractor List
- Additional SubContractor List
- Opt out of payment adjustments for price index fluctuations
- Small Business Status
- DBE Commitment
- Good Faith Efforts Documentation Page 1 of 3
- Good Faith Efforts Documentation Page 2 of 3
- Good Faith Efforts Documentation Page 3 of 3
- Disclosure of Lobbying Activities Certification
- Certifications
- Bid Bond Data

This information may be submitted with your bid proposal. If it is not, and you are the apparent low bidder or the second or third low bidder, it must be submitted and received as specified in Section 2 of the Special Provisions. Failure to submit the required DBE information will be grounds for finding the proposal nonresponsive

IMPORTANT: Identify all DBE firms being claimed for credit, regardless of tier. Names of the First Tier DBE Subcontractors and their respective item(s) of work listed above must be consistent, where applicable, with the names and items of work in the "Subcontractor List" submitted with your bid. Copies of the DBE quotes are required.

- DBE prime contractors must enter their certification number and indicate all work to be performed by DBE's including work performed by its own forces
- If 100% of item is not performed or furnished by DBE, describe exact portion of item to be performed or furnished.
- See Section titled "Disadvantaged Business Enterprises" in Section 2 "Bidding" of the special provisions to determine the credit allowed for DBE firms

If not submitted with bid, submit to:

MSC43  
OFFICE ENGINEER  
DEPARTMENT OF TRANSPORTATION  
1727 30th STREET  
SACRAMENTO, CA 95816-7005

Contract Item No.

Item of work and description of services to be subcontracted or materials to be provided.

Name of DBE's (Must be certified on the date bids are opened - include Caltrans certification #, DBE address and phone number) (Indicate 2nd and lower tier subcontractors)

Dollar amount DBE

For Help, press F1

Bid Total: 0.00

Enter bid item numbers

Enter items of work and description of services

Enter name of DBE, certification number, address and phone number

Enter dollar amount

# Good Faith Efforts Documentation (1 of 3)

## (Contracts with Federal funds)

May be submitted with bid, or printed and submitting under Section 2-1.33

Expedite Bid - 04-3E3004.EBS (0 Amendments) 04-3E3004

File Edit Tools View Help

26Apr1

- Bid Item List
- Bid to the Department of Transportation
- SubContractor List
- Additional SubContractor List
- Opt out of payment adjustments for price index fluctuations
- Small Business Status
- DBE Commitment
- Good Faith Efforts Documentation Page 1 of 3**
- Good Faith Efforts Documentation Page 2 of 3
- Good Faith Efforts Documentation Page 3 of 3
- Disclosure of Lobbying Activities Certification
- Certifications
- Bid Bond Data

GOOD FAITH EFFORTS DOCUMENTATION PAGE 1 OF 3

1. List items of work the bidder made available to DBE firms. Identify those items of work the bidder might otherwise perform with its own forces, and those items that have been broken down into economically feasible units to facilitate DBE participation. For each item listed, show the dollar value and percentage of the total contract. It is the bidder's responsibility to demonstrate that sufficient work to meet the goal was made available to DBE firms.

Item of work offered :

Bidder normally performs item (Y/N) :

Item broken down to facilitate participation (Y/N) :

Amount :

Percentage of Contract :

Item of work offered :

Bidder normally performs item (Y/N) :

Item broken down to facilitate participation (Y/N) :

Amount :

Percentage of Contract :

Item of work offered :

Bidder normally performs item (Y/N) :

Item broken down to facilitate participation (Y/N) :

Amount :

Percentage of Contract :

Enter item of work offered

Indicate if you normally perform item of work

Indicate if item of work was broken down to facilitate DBE participation

Enter amount and percentage of contract

# Good Faith Efforts Documentation (2 of 3)

## (Contracts with Federal funds)

May be submitted with bid, or printed and submitting under Section 2-1.33

The screenshot shows a software window titled 'Expedite Bid - 04-3E3004.EBS (0 Amendments) 04-3E3004'. The left sidebar contains a tree view with items like 'Bid Item List', 'Bid to the Department of Transportation', 'SubContractor List', 'Additional SubContractor List', 'Opt out of payment adjustments for price index fluctuations', 'Small Business Status', 'DBE Commitment', 'Good Faith Efforts Documentation Page 1 of 3', 'Good Faith Efforts Documentation Page 2 of 3', 'Good Faith Efforts Documentation Page 3 of 3', 'Disclosure of Lobbying Activities Certification', 'Certifications', and 'Bid Bond Data'. The main area displays the 'GOOD FAITH EFFORTS DOCUMENTATION PAGE 2 OF 3' form. The form includes a title bar, a menu bar (File, Edit, Tools, View, Help), and a toolbar. The main content area contains the following text: 'GOOD FAITH EFFORTS DOCUMENTATION PAGE 2 OF 3', '2. List the names of certified DBE's and the dates on which they were solicited to bid on this project. Include the items of work offered and the dates and methods used for following up initial solicitations to determine with certainty whether the DBE's were interested. Attach copies of solicitations, telephone records, fax confirmations, etc.', and four input fields: 'Enter name(s) of DBE's solicited :', 'Enter date of initial solicitation :', 'Item(s) of work :', and 'Follow Up Methods and Dates :'. Red arrows point from the text boxes on the right to these input fields.

Enter names of DBE solicited, date of solicitation, items of work, follow up methods, and dates

Enter items of work and name of selected firm

Indicate whether selected firm is a DBE.

The screenshot shows the 'GOOD FAITH EFFORTS DOCUMENTATION PAGE 3 OF 3' form. The main content area contains the following text: '3. For each item of work made available, list the selected firm and its status as a DBE, the DBE's that provided quotes, the price quote for each firm, and the price difference for each DBE if the selected firm is not a DBE.', and five input fields: 'Item(s) of work :', 'Name of selected firm :', 'DBE or Non-DBE :', 'Name of Rejected Firm :', and 'Quote :'. The 'DBE or Non-DBE' field has a dropdown arrow. The 'Price Difference' field is followed by another input field. Red arrows point from the text boxes on the right to these input fields.

Enter name of rejected firm, quote and price difference

# Good Faith Efforts Documentation (3 of 3)

## (4-5)(Contracts with Federal funds)

May be submitted with bid, or printed and submitting under Section 2-1.33

26Apr1

- Bid Item List
- Bid to the Department of Transportation
- SubContractor List
- Additional SubContractor List
- Opt out of payment adjustments for price index fluctuations
- Small Business Status
- DBE Commitment
- Good Faith Efforts Documentation Page 1 of 3
- Good Faith Efforts Documentation Page 2 of 3
- Good Faith Efforts Documentation Page 3 of 3
- Disclosure of Lobbying Activities Certification
- Certifications
- Bid Bond Data

GOOD FAITH EFFORTS DOCUMENTATION PAGE 3 OF 3

4. List the names and dates of each publication in which a request for DBE participation for this project was placed by the bidder. Attach copies of published advertisements or proofs of publication.

Publication :

Dates of Advertisement :

5. List the names of agencies and the dates on which they were contacted to provide assistance in contacting, recruiting and using DBE firms. If the agencies were contacted in writing, provide copies of supporting documents.

Name of Agency :  Date of Contact :

Method of Contact:  Results :

List names and dates of publications for DBE participation, placed by bidder

Enter items of work and description of services

Enter name of DBE, certification number, address and phone number

Enter dollar amount

# Good Faith Efforts Documentation (3 of 3)

## (6-8)(Contracts with Federal funds)

May be submitted with bid, or printed and submitting under Section 2-1.33

6. List efforts made to provide interested DBE's with adequate information about the plans, specifications, and requirements of the contract to assist them in responding to a solicitation. Identify the UDBE assisted, the information provided, and the date of contact. Provide copies of supporting documents.


7. List efforts made to assist interested DBE's in obtaining bonding, lines of credit, insurance, necessary equipment, supplies, materials, or related assistance or services, excluding supplies and equipment the DBE subcontractor purchases or leases from the prime contractor or its affiliates. Identify the DBE assisted, the assistance offered, and the date. Provide copies of supporting document


8. Include additional data to support a demonstration of good faith efforts


Bid Total: 0.00

Enter bid item numbers

Enter items of work and description of services

Enter name of DBE, certification number, address and phone number

Enter dollar amount

# Certified Small Business Listing for the Non Small Business Preference

## (Contracts with no Federal funds)

May be submitted with bid, or printed and submitting under Section 2-1.33

Expedite Bid - 01-0A4404.EBS (0 Amendments) 01-0A4404

File Edit Tools View Help

26Apr1

- Bid Item List
- Bid to the Department of Transportation
- SubContractor List
- Additional SubContractor List
- Small Business Preference
- California Company Preference
- Opt out of payment adjustments for price index fluctuations
- Certified DVBE Summary
- Certified Small Business Listing for the Non-Small Business Preference**
- Certifications
- Bid Bond Data

CERTIFIED SMALL BUSINESS LISTING FOR THE NON-SMALL BUSINESS PREFERENCE

List the description of work, name, telephone number, certification number and dollar amount of each certified small business who will be employed on this project. Failure to provide this information may be cause for denial of the non-small business subcontractor preference.

Submit to:

MSC43  
Office Engineer  
Department of Transportation  
1727 30th Street  
Sacramento, CA 95816-7005

Person to contact :

Telephone number :

Total Claimed Participation: \$   % of Contract

Bid Item No. (s) :  Example: 100, 200

Description of Work, Service, or Materials:

Firm Name:

Tel :

SB Certification :

\$Amount :

Enter contact person name and phone number

Enter total claimed participation amount and total percentage

Enter bid item numbers and description of work to be subcontracted

Enter firm name, phone number, certification number and dollar amount

# Certifications folder

By digitally signing and submitting bid, bidder attests to the following certifications

Enter State, County, Name, Company Title, and Company Name

The screenshot shows the 'Expendite Bid' software interface. On the left is a file explorer showing a list of documents including 'Bid Item List', 'Proposal Sites', 'Bid to the DOT', 'SubContractor List', 'Out of payment adjustments for price index fluctuations', 'Small Business Status', 'Good Faith Efforts Documentation' (pages 1-5), 'Certifications', and 'Disclosure of Lobbying Activities'. The main window displays a certification form with the following sections:

- UNDOCUMENTED ALIENS EMPLOYMENT**  
Under Pub Cont Code § 6101, the Bidder certifies compliance with state and federal law respecting the employment of undocumented aliens.
- NONCOLLUSION**  
"NONCOLLUSION AFFIDAVIT TO BE EXECUTED BY BIDDER AND SUBMITTED WITH BID"  
Under PCC 7106 and 23 USC 112, the bidder declares as follows:  
State of  County of   
Name:   
being first duly sworn, deposes and says that he or she is  
Company Title:   
Company Name:
- CHILD SUPPORT COMPLIANCE ACT**  
Under Pub Cont Code § 7110, the contractor acknowledges that:  
1. The contractor recognizes the importance of child and family support obligations and shall fully comply with all applicable state and federal laws relating to child and family support enforcement including, but not limited to, disclosure of information and compliance with earnings assignment orders, as provided in Chapter 9 (commencing with section §200) of Part 5 of Division 9 of the Family Code; and  
2. The contractor to the best of its knowledge is fully complying with the earnings assignment orders

At the bottom left, it says 'For Help, press F1'. At the bottom right, it says 'Bid Total: 4,000.00'.

This is a close-up of the certification form fields from the screenshot. It shows the following sections:

- UNDOCUMENTED ALIENS EMPLOYMENT**  
Under Pub Cont Code § 6101, the Bidder certifies compliance with state and federal law respecting the employment of undocumented aliens.
- NONCOLLUSION**  
"NONCOLLUSION AFFIDAVIT TO BE EXECUTED BY BIDDER AND SUBMITTED WITH BID"  
Under PCC 7106 and 23 USC 112, the bidder declares as follows:  
State of  County of   
Name:   
being first duly sworn, deposes and says that he or she is  
Company Title:   
Company Name:

A red arrow points from the text box above to the 'Name' field. A blue arrow points from the 'Name' field in the screenshot to the 'Name' field in this close-up.

# Certifications folder

Select "Yes" or "No" for safety regulation violation question. If "Yes" is selected, provide explanation

## VIOLATION OF LAW OR A SAFETY REGULATION

Under Pub Cont Code § 10162, the Bidder must complete, under penalty of perjury, the following questionnaire:

Has the Bidder, any officer of the Bidder, or any employee of the Bidder who has a proprietary interest in the Bidder, ever been disqualified, removed, or otherwise prevented from bidding on, or completing a federal, state, or local government project because of a violation of law or a safety regulation?

If the answer is yes, explain the circumstances in the following space.

Explanation:

Select "Yes" or "No" for Antitrust law violation question. If "Yes" is selected, provide explanation

## ANTI TRUST LAW

Under Pub Con Code § 10285.1, the Bidder declares under penalty of perjury under the laws of the State of California that the Bidder  been convicted within the preceding three years of any offenses referred to in that section, including any charge of fraud, bribery, collusion, conspiracy, or any other act in violation of any state or federal antitrust law in connection with the bidding upon, award of, or performance of, any public works contract, as defined in Pub Cont Code § 1101, with any public entity, as defined in Pub Cont Code § 1100, including the Regents of the University of California or the Trustees of the California State University. The term "Bidder" includes any partner, member, officer, director, responsible managing officer, or responsible managing employee thereof, as referred to in Section 10285.1.

If the Bidder has been convicted of an offense within the past 3 years, provide the conviction details including the date and ultimate resolution of each conviction in the space below.

Explanation:

The screenshot shows the 'Expedite Bid' software interface. The left sidebar contains a table of contents with items like 'Bid Item List', 'Proposal Sites', 'Bid to the DOT', 'SubContractor List', 'Good Faith Efforts Documentation', 'Certifications', and 'Disclosure of Lobbying Activities'. The main window displays the 'VIOLATION OF LAW OR A SAFETY REGULATION' section, which includes a questionnaire about safety regulation violations. Below this, the 'ANTI TRUST LAW' section is visible, containing a questionnaire about antitrust law violations. The interface includes a menu bar (File, Edit, Tools, View, Help) and a toolbar with various icons. The status bar at the bottom indicates 'For Help, press F1'.

# Certifications folder

Select "Yes" or "No" for the bidder responsibility questions. If "Yes" is selected, provide explanation

Expendite Bid - 13-050504.FBK (0 Amendments) 13-050504

File Edit Tools View Help

01Dec2

- Bid Item List
- Proposal Sites
- Bid to the DOT
- SubContractor List
- Out of payment adjustments for price index fluctuations
- Small Business Status
- Good Faith Efforts Documentation Page 1 of 5
- Good Faith Efforts Documentation Page 2 of 5
- Good Faith Efforts Documentation Page 3 of 5
- Good Faith Efforts Documentation Page 4 of 5
- Good Faith Efforts Documentation Page 5 of 5
- Certifications
- Instructions for completion of SF-LI Disclosure of Lobbying
- Disclosure of Lobbying Activities

comply with an order of a federal court which orders the contractor to comply with an order of National Labor Relations Board.

**ANTITRUST LAW**

Under Pub Con Code § 10285.1, the Bidder declares under penalty of perjury under the laws of the State of California that the Bidder  been convicted within the preceding three years of any offenses referred to in that section, including any charge of fraud, bribery, collusion, conspiracy, or any other act in violation of any state or federal antitrust law in connection with the bidding upon, award of, or performance of, any public works contract, as defined in Pub Con Code § 1101, with any public entity, as defined in Pub Con Code 1100, including the Regents of the University of California or the Trustees of the California State University. The term "Bidder" includes any partner, member, officer, director, responsible managing officer, or responsible managing employee thereof, as referred to in Section 10285.1.

If the Bidder has been convicted of an offense within the past 3 years, provide the conviction details including the date and ultimate resolution of each conviction in the space below.

Explanation:

**BIDDER RESPONSIBILITY QUESTIONNAIRE**

Failure to truthfully answer the following questions will result in a finding that the bid is nonresponsive. The Bidder must complete, under penalty of perjury, the following questionnaire:

1. Within the past 10 years, has the Bidder been found to be a nonresponsive bidder by any public entity, including federal, State, local, or regional entities?
2. Within the past 10 years, have any of the Bidder's officers or employees with a proprietary interest in the Bidder been determined to be a nonresponsive bidder by a public entity, including federal, State, local or regional entities?
3. Is there any officer or employee of the Bidder who now has or has had any proprietary interest in another company that bid or bids on public works projects whose company has been determined to be a nonresponsive bidder by any public entity, including federal, State, local, or regional entities?
4. If the answer is to any of the 3 preceding questions is yes, disclose all pertinent details of the determination of nonresponsibility, including:
  - 4.1. Date of each nonresponsibility determination
  - 4.2. Name of each public agency issuing the nonresponsibility determination and a contact person at that agency who would have information about the determination
  - 4.3. Contract number for each nonresponsibility determination

Explanation:

**BIDDER RESPONSIBILITY QUESTIONNAIRE**

Failure to truthfully answer the following questions will result in a finding that the bid is nonresponsive. The Bidder must complete, under penalty of perjury, the following questionnaire:

1. Within the past 10 years, has the Bidder been found to be a nonresponsive bidder by any public entity, including federal, State, local, or regional entities?
2. Within the past 10 years, have any of the Bidder's officers or employees with a proprietary interest in the Bidder been determined to be a nonresponsive bidder by a public entity, including federal, State, local or regional entities?
3. Is there any officer or employee of the Bidder who now has or has had any proprietary interest in another company that bid or bids on public works projects whose company has been determined to be a nonresponsive bidder by any public entity, including federal, State, local, or regional entities?
4. If the answer is to any of the 3 preceding questions is yes, disclose all pertinent details of the determination of nonresponsibility, including:
  - 4.1. Date of each nonresponsibility determination
  - 4.2. Name of each public agency issuing the nonresponsibility determination and a contact person at that agency who would have information about the determination
  - 4.3. Contract number for each nonresponsibility determination

Explanation:

# Small Business Status folder (Federally funded projects)

Select "Yes" if bidder is certified as a "Small Business" by DGS. Enter certification number  
Select "No" if bidder is not certified as a "Small Business" by DGS

The screenshot shows the 'Expedite Bid - 13-050504.EBS (0 Amendments) 13-050504' window. The left sidebar contains a tree view with items like 'Bid Item List', 'Proposal Sites', 'Bid to the DOT', 'SubContractor List', 'Opt out of payment adjustments for price index fluctuations', 'Small Business Status', 'Good Faith Efforts Documentation Page 1 of 5', 'Good Faith Efforts Documentation Page 2 of 5', 'Good Faith Efforts Documentation Page 3 of 5', 'Good Faith Efforts Documentation Page 4 of 5', 'Good Faith Efforts Documentation Page 5 of 5', 'Certifications', 'Instructions for completion of SF-LL Disclosure of Lobbying', and 'Disclosure of Lobbying Activities'. The main content area displays the 'SMALL BUSINESS STATUS' questionnaire. The question asks if the bidder is certified as a 'Small Business' by the Office of Small Business and DVBE Services of the Department of General Services of the State of California. Below the question is a 'YES/NO' dropdown menu and a 'Certification number?' text input field. A note at the bottom states: 'Note: This small business questionnaire is included for statistical reporting only.'

## SMALL BUSINESS STATUS

Are you certified as a "Small Business" by the Office of Small Business and DVBE Services of the Department of General Services of the State of California? Check one:

YES/NO:   Certification number? :

Note: This small business questionnaire is included for statistical reporting only.

# Certifications folder (Federally funded project)

By digitally signing and submitting bid, bidder attests to the following certifications

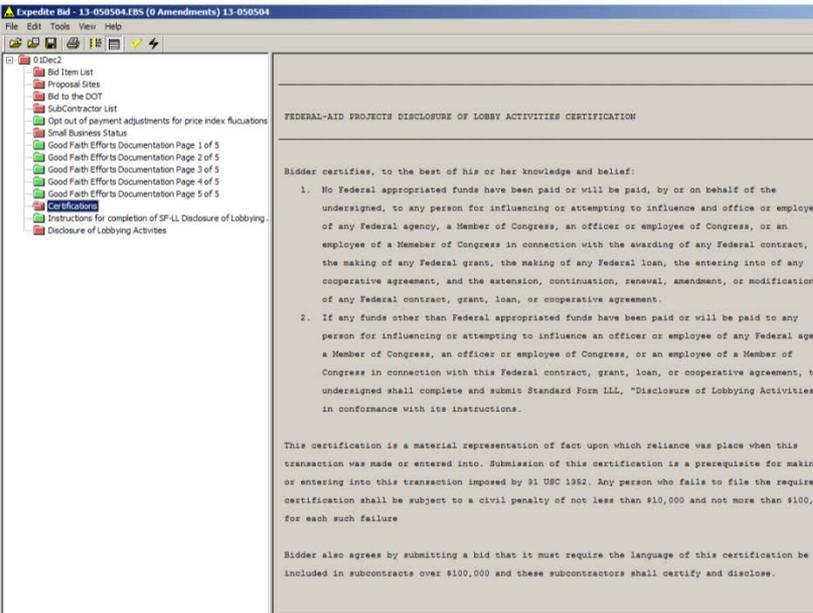
## FEDERAL-AID PROJECTS DISCLOSURE OF LOBBY ACTIVITIES CERTIFICATION

Bidder certifies, to the best of his or her knowledge and belief:

1. No Federal appropriated funds have been paid or will be paid, by or on behalf of the undersigned, to any person for influencing or attempting to influence an officer or employee of any Federal agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with the awarding of any Federal contract, the making of any Federal grant, the making of any Federal loan, the entering into of any cooperative agreement, and the extension, continuation, renewal, amendment, or modification of any Federal contract, grant, loan, or cooperative agreement.
2. If any funds other than Federal appropriated funds have been paid or will be paid to any person for influencing or attempting to influence an officer or employee of any Federal agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with this Federal contract, grant, loan, or cooperative agreement, the undersigned shall complete and submit Standard Form LLL, "Disclosure of Lobbying Activities," in conformance with its instructions.

This certification is a material representation of fact upon which reliance was placed when this transaction was made or entered into. Submission of this certification is a prerequisite for making or entering into this transaction imposed by 31 USC 1352. Any person who fails to file the required certification shall be subject to a civil penalty of not less than \$10,000 and not more than \$100,000 for each such failure.

Bidder also agrees by submitting a bid that it must require the language of this certification be included in subcontracts over \$100,000 and these subcontractors shall certify and disclose.



# Disclosure of Lobbying Activities folder (Federally funded projects)

Select "No" if there are no lobbying activities to disclose. Select "Yes" if there are lobbying activities to disclose, and complete the remainder of the form, following the instructions below the form

Expedite Bid - 13-050504.EBS (0 Amendments) 13-050504

01Dec2

Bid Item List  
Proposal Sites  
Bid to the DOT  
SubContractor List  
Opt out of payment adjustments for price index fluctuations  
Small Business Status  
Good Faith Efforts Documentation Page 1 of 5  
Good Faith Efforts Documentation Page 2 of 5  
Good Faith Efforts Documentation Page 3 of 5  
Good Faith Efforts Documentation Page 4 of 5  
Good Faith Efforts Documentation Page 5 of 5  
Certifications  
Instructions for completion of SF-LL Disclosure of Lobbying  
Disclosure of Lobbying Activities

DISCLOSURE OF LOBBYING ACTIVITIES

Are there lobbying activities to disclose?

1. Type of Federal Action :

2. Status of Federal Action :

3. Report Type :

For Material Change Only  
Year :   
Quarter :   
Date of last report :

4. Name and Address of Reporting Entity:  
  
Tier :  , if known  
Congressional District, if known :

5. If Reporting Entity in No. 4 is Subawardee Entity:  
  
Congressional District, if known :

6. Federal Department/ Agency

7. Federal Program Name/ Description

CFDA Number, if applicable :

8. Federal Action Number, if known :

9. Award amount, if known :

10. a. Name and Address of Lobby Registrant (if known)  
  
b. Individuals Performing Services (Including a  
(Last name, first name, MI)

11. Information requested through this form is authorized by Title 31 U.S.C. Section 1352. This disclosure of lobbying activities is a material representation of fact upon which reliance was placed by the tier above when his transaction was made or entered into. This disclosure is required pursuant to 31 U.S.C. 1352. This information will be available for public inspection. Any person who fails to file the required disclosure shall be subject to a civil penalty of not less than \$10,000 and not more than \$100,000 for each such failure

Name :   
Title :   
Telephone No. :   
DATE :

ff Help, press F1

Bid Total: 4,000.00

Are there lobbying activities to disclose?

1. Type of Federal Action :

Yes

No

# Instructions for completion of SF-LL Disclosure of Lobbying Activities folder (Federally funded project)

Expedite Bid - 13-050504.EBS (0 Amendments) 13-050504

File Edit Tools View Help

01Dec2

- Bid Item List
- Proposal Sites
- Bid to the DOT
- SubContractor List
- Opt out of payment adjustments for price index fluctuations
- Small Business Status
- Good Faith Efforts Documentation Page 1 of 5
- Good Faith Efforts Documentation Page 2 of 5
- Good Faith Efforts Documentation Page 3 of 5
- Good Faith Efforts Documentation Page 4 of 5
- Good Faith Efforts Documentation Page 5 of 5
- Certifications
- Instructions for completion of SF-LL Disclosure of Lobbying
- Disclosure of Lobbying Activities

### INSTRUCTIONS FOR COMPLETION OF SF-LLL DISCLOSURE OF LOBBYING ACTIVITIES

This disclosure form shall be completed by the reporting entity, whether subawardee or prime Federal recipient, at the initiation or receipt of a covered Federal action, or a material change to a previous filing, pursuant to title 31 U.S.C section 1352. The filing of a form is required for each payment or agreement to make payment to any lobbying entity for influencing or attempting to influence an officer or employee of any agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with a covered Federal action. Complete all items that apply for both the initial filing and material change report. Refer to the implementing guidance published by the Office of Management and Budget for additional information

1. Identify the type of covered Federal action for which lobbying activity is and/or has been secured to influence, the outcome of a covered Federal action.
2. Identify the status of the covered Federal action
3. Identify the appropriate classification of this report. If this is a followup report caused by a material change to the information previously reported, enter the year and quarter in which the change occurred. Enter the date the last, previously submitted report by this reporting entity for this covered Federal action.
4. Enter the full name, address, city, State, and zip code of the reporting entity. Include Congressional District if known. Check the appropriate classification of the reporting entity that designates if it is, or expects to be, a prime or subaward recipient. Identify the tier of the subawardee, e.g., the first subawardee of the prime is the 1st tier. Subawards include but are not limited to subcontracts, subgrants and contract awards under grants.
5. If the organization filing the report in item 4 checks "Subawardee," then enter the full name, address, city, State and zip code of the prime Federal recipient. Include Congressional District if known.
6. Enter the name of the Federal agency making the award or loan commitment. Include at least one organizational level below agency name, if known. For example, Department of Transportation, United States Coast Guard.
7. Enter the Federal program name or description for the covered Federal action (item 1). If known, enter the full Catalog of Federal Domestic Assistance (CFDA) number for grants, cooperative agreements, loans and loan commitments.
8. Enter the most appropriate Federal identifying number available for the Federal action identified in item 1. (e.g., Request for Proposal (RFP) number; Invitation for Bid (IFB) number; grant announcement number, the contract, grant, or loan award number; the application/proposal control number assigned by the Federal agency). Include prefixes, e.g. "RFP-DE-90-001/"
9. For a covered Federal action where there has been an award or loan commitment by the Federal agency, enter the Federal amount of the award/loan commitments for the prime entity identified in item 4 or 5.
10. (a) Enter the full name, address, city, State and zip code of the lobbying registrant under the

for Help, press F1 Bid Total: 4,000.00

Follow these instructions to complete the Disclosure of Lobbying Activities screen, if there are lobbying activities to disclose

# Bid bond folder

Expedite Bid - 11-2T1834.EBS (1 Amendment) 11-2T1834

File Edit Tools View Help

03May1

- Bid Item List
- Certified DVBE Summary
- Bid to the DOT
- SubContractor List
- Additional SubContractor List
- Small Business Preference
- California Company Preference
- Opt out of payment adjustment
- Certifications
- Bid Bond Data

< BOND RECORD IS INCOMPLETE >

FORM 674 - Rev. 8-1-95  
BID BOND

Submit paper bid bond. Bidder's security must be received by date and time of bid opening

Bond ID:  Verify Clear

Surety Registry Agency:

Bond Pct:

Max Bond Ammt:

Surety State:

KNOW ALL MEN BY THESE PRESENTS, That we, the PRINCIPAL and SURETY above named are held and firmly bound unto the STATE Department of Transportation, hereinafter called the Department, in the sum of the amount stated above, for the payment of which sum well and truly to be made, we bind ourselves, our heirs, executors, administrators, and successors, jointly and severally, firmly by these presents.

Bond Amount:

Bond Goal:  10.00% or  0.00

For Help, press F1 Bid Total: 0.00

Select button here to submit a paper bid bond.

Enter electronic bid bond data here

Select "Verify" after all electronic bid bond data has been entered



# Printing bid

Forms required to be submitted after bid opening can be completed in Expedite Bid, printed and submitted to Office Engineer

## SUBCONTRACTOR LIST

Bidder must identify subcontractors performing work in an amount in excess of 1/2 of 1 percent of the total bid or \$10,000, whichever is greater. (Pub Cont Code § 4100 et seq.) Complete Firm Name, City, State and Description of Subcontracted Work, and submit with the bid.

Complete Bid Item No.(s) and Percent of Bid Item Subcontracted and submit with bid, or fax to (916)227-6282 within 24 hours after the bid opening. Failure to provide complete information in all sections within the time specified will result in a non-responsive bid.

\*\*\*\*\*NOTE\*\*\*\*\*  
When submitting Bid Item Numbers and Percentage of Bid Items Subcontracted, Firm name, City, State, and Description of Work must be the same as stated in the original submittal. Percentages submitted are the responsibility of the bidder, and are not verified by the bidding software.

- 1) Firm Name:Big Valley Environmental State:CA List this subcontractor?  
City:Sacramento  
Description of Subcontracted Work:  
Erosion control  
No.:1 2 3 %: 100 95 100  
No.:5 8 9 %: 100 100 100  
No.: %:  
No.: %:  
No.: %:  
Multiple item numbers and percentages can be separated by a space
- 2) Firm Name:Harry's Excavation State:CA List this subcontractor? Yes  
City:Elk Grove  
Description of Subcontracted Work:  
Roadway Ex  
No.:19 %: 65  
No.: %:  
No.: %:  
No.: %:  
No.: %:  
Multiple item numbers and percentages can be separated by a space
- 3) Firm Name:Sacramento Traffic State:CA List this subcontractor? Yes  
City:Sacramento  
Description of Subcontracted Work:  
Traffic control  
No.:12 %: 100  
No.:15 %: 35  
No.: %:  
No.: %:  
No.: %:  
No.: %:  
Multiple item numbers and percentages can be separated by a space

Certain forms will not print unless there is data entered into specific fields. These forms are; DVBE Summary, Small Business Preference, Small Business Listing for the Non-Small Business Preference, DBE Commitment, Good Faith Efforts Documentation

# Questions?

Contract Awards

916-227-6299

